

1 **Long-term treatment with the SGLT2 inhibitor, dapagliflozin, ameliorates glucose**
2 **homeostasis and diabetic nephropathy in *db/db* mice**

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21 **Abstract**

22 Inhibition of sodium glucose cotransporter 2 (SGLT2) has been reported as a novel therapeutic
23 approach for treating diabetes. However, the effect of SGLT2 inhibitors on the kidney is
24 unknown. In addition, whether SGLT2 inhibitors have an anti-inflammatory or antioxidative
25 stress effect is still unclear. In this study, to resolve these issues, we investigated the effects of the
26 SGLT2 inhibitor, dapagliflozin, using a mouse model of type 2 diabetes and murine proximal
27 tubular epithelial (mProx24) cells. Eight-week-old male *db/db* mice were treated with 0.1 or 1.0
28 mg/kg of dapagliflozin for 12 weeks. Body weight, blood glucose, hemoglobin A1c, urinary
29 albumin excretion, creatinine clearance and blood pressure were measured. Mesangial matrix
30 accumulation and interstitial fibrosis in the kidney and pancreatic β -cell mass were evaluated by
31 histological analysis. Furthermore, gene expression of inflammatory mediators, such as monocyte
32 chemoattractant protein-1, transforming growth factor- β and osteopontin, was evaluated by
33 quantitative reverse transcriptase-polymerase chain reaction. In addition, oxidative stress was
34 evaluated by dihydroethidium and NADPH oxidase 4 staining. Administration of 0.1 or 1.0
35 mg/kg of dapagliflozin ameliorated hyperglycemia, β -cell damage and albuminuria in *db/db* mice.
36 Serum creatinine, creatinine clearance and blood pressure were not affected by the administration
37 of dapagliflozin, but glomerular mesangial expansion and interstitial fibrosis were suppressed in a
38 dose-dependent manner. Dapagliflozin treatment markedly decreased macrophage infiltration and
39 gene expressions of inflammatory cytokines and oxidative stress in the diabetic kidney. Moreover,
40 dapagliflozin suppressed high-glucose-induced gene expressions of inflammatory cytokines and
41 oxidative stress in cultured mProx24 cells. These data suggest that dapagliflozin ameliorates
42 diabetic nephropathy by improving hyperglycemia along with inhibiting inflammation and
43 oxidative stress in *db/db* mice.

44

45 **Introduction**

46 Diabetic nephropathy is the most common cause of end-stage renal disease in developed
47 countries [1]. In the past, several mechanisms have been suggested to contribute to the onset and
48 progression of diabetic nephropathy, including genetic and hemodynamic factors, intracellular
49 metabolic anomalies, and advanced glycation end products [2]. Emerging evidence suggests that
50 inflammation is crucially involved in the pathogenesis of diabetic nephropathy [3]. Recently,
51 numerous studies have also suggested that hyperglycemia is associated with enhanced generation
52 of reactive oxygen species (ROS), and oxidative stress has been implicated in the development of
53 diabetic nephropathy [4]. Therefore, the regulation of oxidative stress and inflammation could be
54 a potential therapeutic target in diabetic nephropathy.

55 Sodium glucose cotransporter 2 (SGLT2), which is located on the apical side of the
56 proximal tubules, can transport sodium and glucose concurrently within the proximal tubular
57 cells [5]. Under normoglycemic conditions, SGLT2 can reabsorb about 90% of filtered glucose in
58 the early segments of the proximal tubules [6]. In recent years, SGLT2 inhibitors, which can
59 block reabsorption of filtered glucose by inhibiting SGLT2, have been developed and proposed
60 as novel hypoglycemic agents for treating patients with diabetes mellitus [7]. Growing numbers
61 of SGLT2 inhibitors are being developed and hundreds of preclinical and clinical studies have
62 been carried out in the last decade [8]. Although SGLT2 inhibitors are novel and promising drugs
63 for treating patients with type 2 diabetes, the effect of SGLT2 inhibition on diabetic nephropathy
64 is unknown.

65 Dapagliflozin is a very potent and selective SGLT2 inhibitor [9], and is the first-in-class
66 SGLT2 inhibitor launched on the market in 2012 [10]. Various clinical trials have shown
67 improvements in postprandial blood glucose with dapagliflozin monotherapy and combination
68 therapy [11]. In addition, dapagliflozin was associated with additional non-glycemic benefits

69 including reduction in body weight and blood pressure in most clinical trials [12]. Although
70 several studies with animal models suggest that long-term administration of SGLT2 inhibitors,
71 including dapagliflozin, preserves pancreatic β -cell function with improved glucose homeostasis
72 [9,13,14,15], the effects of SGLT2 inhibition on diabetic nephropathy and renal function have not
73 been reported.

74 The purpose of this study was to investigate the hypothesis that inhibition of SGLT2 by
75 dapagliflozin ameliorates glucose homeostasis with preserving β -cell mass, and prevents the
76 development of diabetic nephropathy with inhibiting inflammation and oxidative stress in a
77 mouse model of obesity and type 2 diabetes.

78

79 **Materials and Methods**

80 ***Experimental Protocol***

81 Six-week-old male diabetic *db/db* mice (BKS.Cg-*lepr^{db}/lepr^{db}*) and non-diabetic *db/m* mice
82 (BKS.Cg-*lepr^{db}/+*) were purchased from CLEA Japan (Tokyo, Japan). All mice were maintained
83 under a 12-h light/12-h dark cycle with free access to food and tap water. Dapagliflozin was
84 kindly supplied by Bristol-Myers Squibb (Pennington, NJ, USA). Dapagliflozin (0.1 or 1.0
85 mg/kg/day) was administrated to *db/db* mice ($n = 6$) by gavage for 12 weeks starting at the age of
86 eight weeks. Control *db/db* mice ($n = 5$) and control *db/m* mice ($n = 5$) received saline for 12
87 weeks. The mice were euthanized at 20 weeks of age, and the kidneys were removed and
88 weighed. The kidneys were fixed in 10% formalin for periodic acid-methenamine silver (PAM)
89 staining, and some of the other tissues were embedded in optimal cutting temperature compound
90 (Sakura Finetechnical, Tokyo, Japan) and immediately frozen in acetone cooled on dry ice. Other
91 tissues were snap-frozen in liquid nitrogen and stored at -80°C . Animal care and procedures
92 were performed according to the Guidelines for Animal Experimentation at Okayama University,
93 the Japanese Government Animal Protection and Management Law, and the Japanese
94 Government Notification on Feeding and Safekeeping of Animals. The experimental protocol
95 was approved by the Animal Ethics Review Committee of Okayama University (OKU-2012356).
96 All surgery was performed under sodium pentobarbital anesthesia, and every effort was made to
97 minimize suffering.

98

99 ***Metabolic Data***

100 Body weight was measured weekly. Blood pressure, plasma glucose, urinary glucose and 24-h
101 urinary albumin excretion (UAE) were measured every four weeks. Plasma glucose and blood
102 pressure were measured after an overnight fast. Hemoglobin A1c (HbA1c), water intake, food

103 intake, kidney weight, blood urea nitrogen (BUN), creatinine and creatinine clearance (Ccr) were
104 measured at the age of 20 weeks. Blood pressure was measured by the tail-cuff method (Softron,
105 Tokyo, Japan). HbA1c was measured using high-pressure liquid chromatography, and serum
106 creatinine was measured using an enzymatic method. Urine was collected for 24 h with each
107 mouse housed individually in a metabolic cage and provided with food and water *ad libitum*.
108 UAE was measured as previously described [16].

109

110 ***Light Microscopy***

111 Sections (4 μm thick) were cut from the 10% formalin-fixed, paraffin-embedded kidney samples
112 taken at 20 weeks of age and subjected to PAM and Masson trichrome staining. All tissue
113 sections were examined using a BZ-9000 microscope (Keyence, Osaka, Japan). The
114 PAM-positive area and the tuft area were calculated using BIOZERO software (Keyence). The
115 mesangial matrix index (MMI) was defined as the PAM-positive area in the tuft area, and
116 calculated using the following formula: $\text{MMI} = (\text{PAM-positive area}) / (\text{tuft area})$. To determine
117 the MMI, we examined 10 randomly selected glomeruli in the cortex per animal under high
118 magnification ($\times 400$). The results are expressed as mean \pm SEM (per μm^2 for tuft area; arbitrary
119 units for MMI).

120

121 ***Immunofluorescent Staining***

122 Immunofluorescent staining was performed as described previously [17]. Renal expression of
123 type IV collagen was detected using rabbit anti-type IV collagen (Millipore, Temecula, CA)
124 followed by Alexa Fluor 488 goat anti-rabbit IgG (Invitrogen, Carlsbad, CA). Similarly,
125 pancreatic β -cells were detected using guinea pig anti-insulin (Abcam, Cambridge, UK) followed
126 by Alexa Fluor 488 goat anti-guinea pig IgG (Invitrogen). Type IV collagen-positive area in

127 glomerulus was calculated same as MMI. The proportion of the area of pancreatic tissue occupied
128 by β -cells was calculated using BIOZERO software (Keyence). The insulin-positive area relative
129 to the area of the whole pancreatic tissues was analyzed for more than 100 islets per group.

130

131 ***Immunoperoxidase Staining***

132 Immunoperoxidase staining was performed as described previously [16]. Macrophage infiltration
133 was evaluated using a rat anti-mouse monocyte/macrophage (F4/80) monoclonal antibody
134 (Abcam), followed by HRP-conjugated goat anti-rat IgG antibody (Millipore). We counted the
135 number of F4/80-positive cells in 10 glomeruli per animal. The mean number of positive cells per
136 glomerulus and interstitial tissue (number per mm^2) were used for the estimation.

137 NADPH oxidase 4 (Nox4) immunoperoxidase staining was performed as previously
138 described [18]. Tissue sections were stained with Nox4 rabbit antibody (Novus Biologicals,
139 Littleton, CO, USA) for 12 h at 4°C followed by biotin-labeled anti-rabbit IgG antibody (Jackson
140 ImmunoResearch Laboratories). To quantify the proportional area of staining, 10 views of the
141 renal cortex were randomly selected in each slide.

142

143 ***Quantitative Analysis of Renal Cortex Gene Expression***

144 RNA from the renal cortex was isolated from 20-week-old mice using an RNeasy Mini Kit
145 (Qiagen, Valencia, CA, USA). Single-strand cDNA was synthesized from the extracted RNA
146 using a reverse transcriptase-polymerase chain reaction (RT-PCR) kit (Perkin Elmer, Foster City,
147 CA, USA). To determine the mRNA expression of *CD14*, *CD11c*, *CD206*, *transforming growth*
148 *factor (TGF)- β* , *monocyte chemoattractant protein (MCP)-1*, *osteopontin*, *intercellular adhesion*
149 *molecule (ICAM)-1*, *caspase 12* and *Bax* in the renal cortex, quantitative RT-PCR (qRT-PCR)
150 was performed using StepOnePlus (Applied Biosystems, Tokyo, Japan) and FastStart SYBR

151 Premix Ex Taq II (Takara Bio, Otsu, Japan). All primers were purchased from Takara Bio. Each
152 sample was analyzed in triplicate and normalized against *Atp5f1* mRNA expression.

153

154 ***Expression of ROS***

155 To evaluate the effect of dapagliflozin on ROS production, superoxide anion radicals were
156 detected by dihydroethidium (DHE) staining (Molecular Probes, Eugene, OR, USA). Briefly, the
157 kidney sections were incubated with 2 $\mu\text{mol/l}$ DHE at 37°C for 45 min in a humidified chamber
158 protected from light. Fluorescence pictures were obtained using BIOZERO software (Keyence).
159 The mean DHE fluorescence intensity was calculated by dividing the combined fluorescence
160 value of the pixels by the total number of pixels in 10 randomly selected fields observed under
161 identical laser and photomultiplier settings.

162

163 ***Terminal Transferase-Mediated dUTP Nick-End Labeling (TUNEL) Assay***

164 To evaluate the effect of dapagliflozin on apoptosis, kidney sections were incubated with *in situ*
165 apoptosis detection kit (Takara Bio) according to the manufacturer's protocol. The mean number
166 of positive cells per interstitial tissue (number per mm^2) was determined by observing more than
167 10 interstitia from each section.

168

169 ***Cell Culture and Treatment***

170 Murine proximal tubular epithelial (mProx24) cells were generously provided by Dr. Takeshi
171 Sugaya (CMIC Co., Japan), and cultured as reported previously [17]. mProx24 cells were
172 cultured in Dulbecco's modified Eagle's medium supplemented with 5.5 mM D-glucose (low
173 glucose), 10% FBS, 100 U/mL penicillin, 100 mg/mL streptomycin, and 2 mM L-glutamine.
174 DHE staining and qRT-PCR were performed as described above.

175

176 ***Statistical Analysis***

177 All values are given as mean \pm SEM. Statistically significant differences between groups were
178 examined using one-way ANOVA followed by Scheffe's test. A *P* value < 0.05 was considered
179 statistically significant.

180

181 **Results**

182 ***Effect of Dapagliflozin on Body Weight, Hyperglycemia and Renal Function***

183 Body weight was higher in the *db/db* groups than in the *db/m* group during the study, and body
184 weight in the *db/db* group treated with 0.1 or 1.0 mg/kg/day of dapagliflozin (*db/db*+0.1 dapa
185 group and *db/db*+1.0 dapa group, respectively) was higher than in the *db/db* group from 10 to 20
186 weeks of age (Fig. 1A). Plasma and urinary glucose excretion progressively increased in the
187 *db/db* groups during the study. However, dapagliflozin significantly reduced plasma and urinary
188 glucose, and HbA1c compared with those of the *db/db* group at 20 weeks of age (Fig. 1B, 1C and
189 Table 1). There were no significant differences in systolic and diastolic blood pressure between
190 the four groups at 20 weeks of age. In addition, there were no significant differences in water and
191 food intake between the *db/db*, the *db/db*+0.1 dapa and the *db/db*+1.0 dapa groups (Table 1).

192 UAE, a characteristic feature of diabetic nephropathy, progressively increased in the
193 *db/db* group during the study. However, dapagliflozin significantly reduced the mean UAE
194 compared with that of the *db/db* group from 12 to 20 weeks of age (Fig. 1D). The other
195 parameters are summarized in Table 2. There were no significant differences in BUN and serum
196 creatinine between the four groups at 20 weeks of age. Kidney weight and relative kidney weight
197 were significantly lower in the *db/db* groups than in the *db/m* group, but there were no significant
198 differences between the *db/db*, the *db/db*+0.1 dapa and the *db/db*+1.0 dapa group. Ccr was higher
199 in the *db/db* group and the *db/db*+0.1 dapa group than in the *db/m* group, but there were no
200 significant differences between the *db/db*, the *db/db*+0.1 dapa, and the *db/db*+1.0 dapa group.

201

202 ***Dapagliflozin Suppresses Mesangial Matrix Accumulation and Interstitial Fibrosis***

203 Kidneys were isolated and processed for pathological analysis using PAM staining, Masson's
204 trichrome staining, and immunofluorescence for type IV collagen. As revealed by PAM and type

205 IV collagen staining (Fig. 2A), mesangial matrix expansion was observed in the *db/db* group at
206 20 weeks of age. However, this outcome was ameliorated in the *db/db*+1.0 dapa group compared
207 with the *db/db* group, as demonstrated by a reduction in the MMI from $4.9 \pm 0.1\%$ in the *db/db*
208 group to $2.1 \pm 0.6\%$ in the *db/db*+1.0 dapa group ($P < 0.05$; Fig. 2B). Immunofluorescent staining
209 for type IV collagen also showed the same tendency (Fig. 2C). Similarly, representative interstitia
210 in the Masson's trichrome-stained sections are shown in Fig. 2D. Interstitial fibrosis was
211 significantly higher in the *db/db* group compared with that in the *db/m* group, and was suppressed
212 in the *db/db*+0.1 dapa group and the *db/db*+1.0 dapa group (Fig. 2E). Collectively, these results
213 demonstrate that administration of dapagliflozin ameliorates mesangial matrix accumulation and
214 interstitial fibrosis in *db/db* mice.

215

216 ***Proinflammatory Macrophage Infiltration in the Kidney***

217 We performed qRT-PCR analysis to evaluate the macrophage infiltration into the kidney. Gene
218 expression of *CD14*, a macrophage marker, was lower in the *db/db*+1.0 dapa group than in the
219 *db/db* group (Fig. 3A). To distinguish which proinflammatory or anti-inflammatory macrophages
220 are dominant in the kidney, we used the primers for *CD11c* and *CD206*. *CD11c* is a marker for
221 the proinflammatory (M1) subtype of macrophages, while *CD206* is specific for the
222 anti-inflammatory (M2) subtype of macrophages. The renal expression of *CD11c* was lower in
223 *db/db*+1.0 dapa group than in the *db/db* group (Fig. 3B); however, there were no significant
224 differences in *CD206* between the *db/db*, the *db/db*+0.1 dapa and the *db/db*+1.0 dapa group (Fig.
225 3C). To confirm these findings, we performed immunoperoxidase staining for F4/80, a marker
226 for M1 macrophages. The number of macrophages in both the glomeruli and interstitium were
227 remarkably higher in the *db/db* group than in the *db/m* group (Fig. 3D). The macrophage
228 infiltration into the glomeruli was significantly reduced in the *db/db*+0.1 dapa and the *db/db*+1.0

229 dapa group compared with the *db/db* group (Fig. 3D and 3E). Similarly, the macrophage
230 infiltration into the interstitium was increased in the *db/db* group but suppressed in the *db/db+1.0*
231 dapa group (Fig. 3D and 3F). These findings indicate that dapagliflozin suppresses
232 proinflammatory macrophage infiltration into the diabetic kidney.

233

234 ***Inflammatory Gene Expression in the Renal Cortex***

235 qRT-PCR analysis of kidney tissue demonstrated that the expression of several proinflammatory
236 genes, including *TGF-β*, *MCP-1*, *osteopontin* and *ICAM-1*, was significantly suppressed by
237 dapagliflozin in the *db/db* group (Fig. 4A–D).

238

239 ***Oxidative Stress and Apoptosis in the Kidney***

240 To investigate the role of oxidative stress and apoptosis, and the effects of dapagliflozin on the
241 pathogenesis of diabetic nephropathy, we conducted DHE staining, Nox4 immunostaining and
242 the TUNEL assay on the kidney. ROS production, which was detected by DHE, was higher in the
243 cortex of the *db/db* group than in the *db/m* group, but it was reduced in the *db/db+0.1* and
244 *db/db+1.0* dapa groups (Fig. 5A and B). Similarly, Nox4, a subunit of NADPH oxidase, was
245 upregulated in the cortex of the *db/db* group, but its expression was attenuated in the *db/db+1.0*
246 dapa group (Fig. 5C and D). TUNEL staining confirmed that apoptosis was increased in the
247 *db/db* group, and that dapagliflozin markedly reduced the number of apoptotic cells (Fig. 6A and
248 B). Furthermore, dapagliflozin markedly reduced the high gene expression of the proapoptotic
249 factors, *Caspase-12* and *Bax*, in the *db/db* group (Fig. 6C and D). These data indicate that
250 diabetes increases oxidative stress and apoptosis, and that oxidative stress and apoptosis are
251 suppressed by dapagliflozin.

252

253 ***Oxidative Stress and Inflammatory Gene Expression in Cultured Proximal Tubular Epithelial***
254 ***Cells***

255 To evaluate high-glucose-induced ROS production in cultured proximal tubular epithelial cells,
256 we performed DHE staining. High-glucose medium increased ROS production in mProx24 cells,
257 and that dapagliflozin treatment significantly attenuated this increase in mProx24 cells (Fig. 6A
258 and B). qRT-PCR analyses of mProx24 cells demonstrated that high-glucose-induced Nox4
259 mRNA expression was also attenuated by dapagliflozin (Fig. 7C). Similarly, expression levels of
260 inflammatory genes including MCP-1 and OPN were increased by exposure to high glucose and
261 suppressed by dapagliflozin (Fig. 7D and 7E). These findings suggest that dapagliflozin
262 ameliorates high-glucose-induced oxidative stress and inflammation in renal proximal tubular
263 epithelial cells.

264

265 ***Effect of Dapagliflozin on β -cell mass in db/db mice***

266 We evaluated the effect of dapagliflozin on β -cell morphology by immunoperoxidase staining for
267 insulin (Fig. 8A). β -cell mass was significantly decreased in the *db/db* group compared with the
268 *db/m* group at 20 weeks of age. However, treatment of dapagliflozin significantly prevented the
269 decrease in β -cell mass in a dose dependent manner (Fig. 8B)

270

271 **Discussion**

272 In the present study, we demonstrated that dapagliflozin, a novel SGLT2 inhibitor, suppressed
273 hyperglycemia and restored β -cell mass in diabetic *db/db* mice. Administration of dapagliflozin
274 reduced macrophage infiltration and the gene expression of inflammatory cytokines, including
275 *MCP-1*, *TGF- β* and *OPN* in the kidney of diabetic *db/db* mice. Furthermore, oxidative stress and
276 apoptosis were lower in dapagliflozin-treated *db/db* mice than in the untreated mice. Our findings
277 revealed that dapagliflozin exhibits potent antihyperglycemic effects and prevents the
278 development of diabetic nephropathy.

279 SGLT2 inhibitors are a novel class of antihyperglycemic drugs that target the process of
280 renal glucose reabsorption and induce glycosuria independently of insulin secretion or action. To
281 date, data on dapagliflozin, a selective SGLT2 inhibitor in development, have demonstrated that
282 the kidney is an efficacious and safe target for therapy, and that SGLT2 inhibition may benefit
283 patients with type 2 diabetes mellitus beyond glycemic control [19]. Although many studies in
284 animals and humans have demonstrated that SGLT2 inhibitors reduce hyperglycemia
285 measurements, including HbA1c, fasting plasma glucose and postprandial glucose, the effects of
286 SGLT2 inhibitors on the organs are not well known. Several studies have demonstrated that
287 genetic and pharmacological inhibition of SGLT2 preserve pancreatic β -cell function [15,20,21];
288 however, the effects of SGLT2 inhibitors on renal structures and function are not understood.
289 Therefore, we investigated how dapagliflozin influences the progression of diabetic nephropathy
290 using a mouse model of type 2 diabetes.

291 Inflammation is associated with the development of diabetic nephropathy, and targeting
292 inflammation could be a therapeutic approach for the treatment of diabetic nephropathy [3,22].
293 We have demonstrated that activation of nuclear hormone receptors, including peroxisome
294 proliferator-activated receptor (PPAR) γ , PPAR δ and liver x receptor, inhibits macrophage

295 infiltration and inflammation, and ameliorates diabetic nephropathy in animal models [23,24,25].
296 In the present study, dapagliflozin suppressed the gene expression of the proinflammatory M1
297 macrophage marker, *CD11c*, but not the anti-inflammatory M2 macrophage marker, *CD206*, and
298 decreased macrophage infiltration into the kidney in a dose-dependent manner. Similarly,
299 dapagliflozin suppressed the expression levels of the chemokine *MCP-1*, the adhesion molecule
300 *ICAM-1*, and the cytokines *TGF- β* and *OPN*. Moreover, in vitro study suggests that dapagliflozin
301 inhibit MCP-1 and OPN expression in cultured proximal tubular epithelial cells. These results
302 indicate that dapagliflozin inhibits proinflammatory macrophage infiltration and inflammation in
303 diabetic nephropathy.

304 Many studies have also proposed an important role for oxidative stress and apoptosis in
305 the pathogenesis of diabetic nephropathy [2,26]. To investigate the role of oxidative stress and
306 the effects of dapagliflozin on the pathogenesis of diabetic nephropathy, we evaluated oxidative
307 stress in the kidney by assessing ROS generation in this study. DHE staining revealed that ROS
308 were increased in the interstitia of diabetic *db/db* mice compared with non-diabetic *db/m* mice.
309 The intensity of DHE staining was lower in dapagliflozin-treated *db/db* mice than in control
310 *db/db* mice. We also performed immunohistochemistry of Nox4, as a promoter of ROS
311 generation in the diabetic kidney. The fact that Nox4 expression was increased in diabetic *db/db*
312 mice and decreased by the administration of dapagliflozin suggests that dapagliflozin may reduce
313 oxidative stress by suppressing Nox4-derived ROS generation in the kidney of *db/db* mice.
314 Furthermore, we evaluated apoptosis in the kidney by TUNEL staining and quantitative analysis
315 of gene expression of proapoptotic factors. Diabetes-induced apoptotic cells were decreased in
316 dapagliflozin-treated *db/db* mice compared with control *db/db* mice. Similarly, the expression
317 levels of *Caspase-12* and *Bax* were also suppressed by the administration of dapagliflozin.
318 Finally, we performed in vitro study and revealed that dapagliflozin suppresses

319 high-glucose-induced ROS generation and Nox4 expression in cultured proximal tubular
320 epithelial cells. Overall, these results indicate that diabetes increases oxidative stress and
321 apoptosis in the kidney, and dapagliflozin suppresses diabetes-induced oxidative stress and
322 apoptosis in the kidney.

323 To date, no studies have explored the effect of SGLT2 inhibitors on the progression of
324 diabetic nephropathy in detail, and only two studies reported renoprotective effects of SGLT2
325 inhibitors. First is that the SGLT2 inhibitor, tofogliflozin, is reported to reduce albuminuria and
326 glomerular hypertrophy in *db/db* mice [21]. Second is that luseogliflozin is also reported to slow
327 the progression of diabetic nephropathy in rat model of type 2 diabetes [27]. However, neither
328 inflammation nor oxidative stress in renal tissue or in cultured renal cells was examined in these
329 studies. To the best of our knowledge, this is the first study to investigate the protective effect of
330 an SGLT2 inhibitor on the development of diabetic nephropathy by inhibiting inflammation and
331 oxidative stress by both in vivo and in vitro studies.

332 Vallon *et al.* showed that SGLT2 knockout mice attenuated hyperglycemia and
333 glomerular hyperfiltration, but not of renal injury, oxidative stress and inflammation in
334 streptozotocin (STZ)-induced type 1 diabetes model [28]. There are two speculations for the
335 discrepancy between their and our studies. First, it is well known that STZ has toxicity and STZ
336 itself might affect to the kidney and induce renal injury, oxidative stress and inflammation.
337 Second, the glucose level was lower in STZ-induced diabetic SGLT2 knockout mice than in
338 diabetic wild-type mice (~300 vs. 470 mg/dl), however, it was still extremely higher than normal
339 level. The glucose level of their diabetic SGLT2 knockout mice is almost similar to that of our
340 untreated *db/db* mice. Therefore, hyperglycemia per se might induce oxidative stress,
341 inflammation and renal injury. Recent clinical study reported that empagliflozin ameliorated
342 hyperfiltration but not urine albumin/creatinine ratio in patients with type 1 diabetes [29]. The

343 treatment period was only 8 weeks in this study and it is too short to expect the effect of SGLT2
344 inhibitor to reduce albuminuria. Furthermore, we should be careful not to administer SGLT2
345 inhibitors to type 1 diabetic patients since the indication of SGLT2 inhibitors is to type 2 diabetic
346 patients.

347 Tahara *et al.* have reported that the SGLT2 inhibitor, ipragliflozin, reduced plasma and
348 liver levels of oxidative stress biomarkers and inflammatory markers, and ameliorated
349 hyperglycemia in a mouse model of diabetes [30]. Chen *et al.* have shown that the SGLT2
350 inhibitor, BI-38335, suppressed the gene expression of inflammatory cytokines in pancreas, and
351 improved glycemic control in *db/db* mice [15]. However, the effects of SGLT2 inhibitors on
352 kidney were not investigated in these studies. To elucidate the precise mechanisms by which
353 dapagliflozin prevents diabetes-induced oxidative stress and inflammation, and thus protects
354 against diabetic nephropathy, further investigations are needed.

355 In conclusion, we demonstrated that the SGLT2 inhibitor, dapagliflozin, ameliorates the
356 primary features of diabetic nephropathy and reduces albuminuria as well as hyperglycemia and
357 β -cell damage in *db/db* mice. Dapagliflozin shows renoprotective effects through its glucose
358 lowering effect and at least in part by anti-inflammatory/oxidative stress effects in the diabetic
359 kidney. Our findings suggest that dapagliflozin may thus be a therapeutic option for the treatment
360 of diabetic nephropathy.

361

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364

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454 **Figure Legends**

455 **Figure 1.** Effect of dapagliflozin on body weight, hyperglycemia and urinary albumin excretion
456 (UAE). (A) Body weight was higher in the *db/db* group than in the *db/m* group during the study.
457 Body weight in the *db/db* with 1.0 mg/kg dapagliflozin group (*db/db*+0.1 dapa group) was higher
458 than in the *db/db* group from 10 to 20 weeks of age. Data are mean \pm SEM. **P* < 0.05. (B-D)
459 Plasma and urinary glucose, and UAE progressively increased in the *db/db* group during the
460 12-week observation period. These parameters were significantly lower in the *db/db*+1.0 dapa
461 group than in the *db/db* group. Data are mean \pm SEM. **P* < 0.05.

462
463 **Figure 2.** Dapagliflozin suppresses mesangial matrix accumulation and interstitial fibrosis. (A)
464 Periodic acid-methenamine silver (PAM) staining of kidney sections. Mesangial matrix
465 expansion was evident in the *db/db* group. Dapagliflozin suppressed the increase in mesangial
466 matrix accumulation compared with that in the *db/db* group. Original magnification, \times 400. (B)
467 Mesangial matrix index of the glomeruli. Data are mean \pm SEM. **P* < 0.05. (C) Type IV collagen
468 positive area in the glomeruli. Data are mean \pm SEM. **P* < 0.05. (D) Masson's trichrome staining
469 of kidney sections. Interstitial fibrosis was significantly higher in the *db/db* group than in the
470 *db/m* group, and significantly lower in the *db/db*+1.0 dapa group than in the *db/db* group.
471 Original magnification, \times 100. (E) Percentages of fibrosis in interstitia. Data are mean \pm SEM. **P*
472 < 0.05.

473
474 **Figure 3.** Dapagliflozin inhibits proinflammatory macrophage infiltration in the renal cortex.
475 Quantitative RT-PCR analysis of the expression of *CD14* (A), *CD11c* (B) and *CD206* (C)
476 showed that dapagliflozin suppressed gene expression in proinflammatory macrophages in the
477 kidney. mRNA levels were normalized against *Atp5fl* expression. Data are mean \pm SEM. **P* <

478 0.05. (D) Macrophage infiltration into the glomeruli and the interstitium was clearly evident in
479 the *db/db* group compared with that in the *db/m* group, and was suppressed in the *db/db*+dapa
480 groups compared with that in the *db/db* group. Original magnifications: $\times 400$ for glomeruli and
481 $\times 100$ for interstitium. (E) Number of intraglomerular macrophages. Data are mean \pm SEM. $*P <$
482 0.05. (F) Number of macrophages in the interstitium. Data are mean \pm SEM. $*P < 0.05$.

483
484 **Figure 4.** Dapagliflozin suppresses inflammatory gene expression in the renal cortex.
485 Quantitative RT-PCR analysis of the expression of *TGF- β* (A), *MCP-1* (B), *osteopontin* (C) and
486 *ICAM-1* (D) showed that dapagliflozin inhibited diabetes-induced inflammatory gene expression
487 in the kidney. mRNA levels were normalized against *Atp5f1* expression. Data are mean \pm SEM.
488 $*P < 0.05$.

489
490 **Figure 5.** Dapagliflozin inhibits oxidative stress in the kidney. (A, B) ROS production was
491 detected by fluorescence microscopy using dihydroethidium (DHE). ROS was predominantly
492 localized in the interstitia of *db/db* mice, and was suppressed in the *db/db*+dapa groups compared
493 with that in the *db/db* group. Original magnification, $\times 100$. Data are mean \pm SEM. $*P < 0.05$. (C,
494 D) Localization of renal Nox4 expression by immunohistochemistry. The expression of Nox4
495 was predominantly localized in the interstitia of *db/db* mice, and was suppressed in the
496 *db/db*+dapa groups compared with that in in the *db/db* group. Original magnification, $\times 100$. Data
497 are mean \pm SEM. $*P < 0.05$.

498
499 **Figure 6.** Dapagliflozin inhibits apoptosis in the kidney. (A, B) Apoptosis was detected by
500 TUNEL staining. Arrow heads indicate the apoptotic nuclei. The number of apoptotic cells was
501 higher in the interstitia of *db/db* mice than in *db/m* mice, and was lower in the *db/db*+dapa groups

502 than in the *db/db* group. Original magnification, $\times 400$. Data are mean \pm SEM. $*P < 0.05$. (C, D)
503 Dapagliflozin reduced the mRNA levels of *Caspase-12* and *Bax* in the kidney. mRNA levels
504 were normalized against *Atp5f1* expression. Data are mean \pm SEM. $*P < 0.05$.

505
506 **Figure 7.** Dapagliflozin suppresses oxidative stress and inflammatory gene expression in cultured
507 proximal tubular epithelial cells. (A) ROS production was detected by fluorescence microscopy
508 using dihydroethidium. ROS production was not increased by mannitol (b) compared with
509 normal glucose (a), but was increased by high glucose (c). High-glucose-induced ROS
510 production was attenuated by dapagliflozin pretreatment in a dose-dependent manner (d: 0.2 nM;
511 e: 2.0 nM; f: 20.0 nM). The cells depicted are representative of three independent experiments.
512 (B) Densitometric quantification of ROS production. Data are mean \pm SEM of three independent
513 experiments. $*P < 0.05$ versus high glucose; NG: normal glucose; Man: mannitol; HG: high
514 glucose; dapa: dapagliflozin. Quantitative RT-PCR analysis of the expression of *Nox4* (C),
515 *MCP-1* (D) and *osteopontin* (E) showed that dapagliflozin inhibited diabetes-induced
516 inflammatory gene expression in the kidney. mRNA levels were normalized against *Atp5f1*
517 expression. Data are mean \pm SEM. $*P < 0.05$.

518
519 **Figure 8.** Treatment with dapagliflozin increases β -cell mass in *db/db* mice. (A) Representative
520 immunofluorescent staining for insulin performed with pancreatic tissue sections derived from
521 *db/m*, *db/db*, *db/db* with 0.1 and 1.0 mg/kg dapagliflozin mice. Original magnification, $\times 400$. (B)
522 The β -cell area is shown as a proportion of the area of the entire pancreas. Data are mean \pm SEM.
523 $*P < 0.05$.

524

525 **Table 1.** Influence of dapagliflozin on metabolic and physiologic parameters in *db/db* and *db/m*
 526 mice at 20 weeks

	<i>db/m</i>	<i>db/db</i>	<i>db/db</i> + 0.1 dapa	<i>db/db</i> + 1.0 dapa
Systolic blood pressure (mmHg)	120.0 ± 5.2	116.6 ± 4.5	121.2 ± 2.3	115.2 ± 4.5
Diastolic blood pressure (mmHg)	79.4 ± 3.2	78.8 ± 2.3	86.3 ± 1.6	84.3 ± 3.0
HbA1c (%)	4.0 ± 0.1	9.2 ± 0.2 ^a	8.5 ± 0.3 ^a	6.6 ± 0.2 ^{abc}
Water intake (ml/day)	4.8 ± 0.4	31.1 ± 4.1 ^a	22.3 ± 2.9 ^a	19.8 ± 1.9 ^a
Food intake (g/day)	3.2 ± 0.1	4.5 ± 0.7	4.8 ± 0.3	6.1 ± 0.3 ^a

527 *db/m*, nondiabetic control mice; *db/db*, untreated diabetic mice; *db/db*+0.1 dapa, dapagliflozin
 528 (0.1 mg/kg)-treated diabetic mice; *db/db*+1.0 dapa, dapagliflozin (1.0 mg/kg)-treated diabetic
 529 mice; HbA1c, hemoglobin A1c. Data are presented as mean ± SEM; ^a*P* < 0.05 vs. *db/m*, ^b*P* < 0.05
 530 vs. *db/db*, ^c*P* < 0.05 vs. *db/db*+0.1 dapa.

531

532 **Table 2.** Effects of dapagliflozin on renal functional and structural parameters at 20 weeks

	<i>db/m</i>	<i>db/db</i>	<i>db/db</i> + 0.1 dapa	<i>db/db</i> + 1.0 dapa
Kidney weight (mg)	379.0 ± 39.6	247.0 ± 6.8 ^a	239 ± 9.4 ^a	252.5 ± 9.1 ^a
Relative kidney weight (mg/g body weight)	11.5 ± 1.0	6.0 ± 0.3 ^a	5.2 ± 0.4 ^a	4.5 ± 0.1 ^a
BUN (mg/dl)	20.3 ± 0.7	29.1 ± 2.8	24.6 ± 2.5	25.9 ± 0.6
Serum creatinine (mg/dl)	0.10 ± 0.01	0.12 ± 0.02	0.10 ± 0.01	0.12 ± 0.02
Urine volume (ml/day)	1.0 ± 0.1	23.4 ± 3.1 ^a	19.2 ± 2.4 ^a	16.3 ± 1.9 ^a
Ccr (ml/min)	4.80 ± 0.54	9.42 ± 0.96 ^a	9.81 ± 0.78 ^a	6.40 ± 0.65 ^c

533 *db/m*, nondiabetic control mice; *db/db*, untreated diabetic mice; *db/db*+0.1 dapa, dapagliflozin
534 (0.1 mg/kg)-treated diabetic mice; *db/db*+1.0 dapa, dapagliflozin (1.0 mg/kg)-treated diabetic
535 mice; BUN, blood urea nitrogen; Ccr, creatinine clearance. Data are presented as mean ± SEM;
536 ^a*P* < 0.05 vs. *db/m*, ^b*P* < 0.05 vs. *db/db*, ^c*P* < 0.05 vs. *db/db*+0.1 dapa.