

1 **Journal of Human Genetics / Original article**

2 **JHG-15-671R**

3 **Adults with germline *CBL* mutation complicated with juvenile**
4 **myelomonocytic leukemia at infancy**

5

6 Michiko Muraoka¹, Chiho Okuma¹, Kiichiro Kanamitsu¹, Hisashi Ishida¹, Yui
7 Kanazawa¹, Kana Washio¹, Masafumi Seki³, Motohiro Kato⁵, Junko Takita³,
8 Yusuke Sato⁴, Seishi Ogawa⁴, Hirokazu Tsukahara¹, Megumi Oda^{1,2}, Akira
9 Shimada^{1*}

10 ¹ Department of Pediatrics, ²Department of Pediatric Hematology/Oncology, Okayama
11 University Hospital, Okayama, Japan

12 ³Department of Pediatrics, Graduate School of Medicine, the University of Tokyo, Tokyo,
13 Japan

14 ⁴Department of Tumor Biology, Faculty of Medicine, Kyoto University, Kyoto, Japan

15 ⁵Division of Leukemia and Lymphoma, Children's Cancer Center, National Center for
16 Child Health and Development, Tokyo, Japan

17 *Correspondence to: Akira Shimada, M.D., PhD. Assistant Professor, Okayama
18 University Hospital, ¹Department of Pediatrics, Department of Pediatric

1 Hematology/Oncology, Okayama University Hospital, Okayama, 2-5-1, Shikatacho,

2 Kitaku, Okayama, 700-8558, Japan, Phone: +81-86-235-7249, FAX: +81-86-221-4745

3 E-mail: pajj236e@okayama-u.ac.jp

4 Main Text: 1,271 words

5 Abstract: 98 words

6 Key words: CBL, JMML, Germline, Noonan syndrome

7 Running Title: Germline *CBL* mutation complicated with JMML at the infancy

8 Number of Table 0 and number of Figure 2

9 ¹ Supported in part by a Grant-in-Aid for Cancer Research and a grant for Clinical Cancer

10 Research and Research on Children and Families from the Ministry of Health, Labor and

11 Welfare of Japan,

12

13 All author don't have a conflict of interest to declare.

14

1 **Abstract**

2 Juvenile myelomonocytic leukemia (JMML) appears to be a life
3 threatening disease and showed poor prognosis even after hematopoietic stem
4 cell transplantation (HSCT) because of high relapse rate. On the other hand,
5 recent molecular analysis revealed the heterogeneity of JMML. Here we
6 report that 2 JMML patients survived more than 20 years without HSCT and
7 both patients had uniparental disomy (UPD) of 11q23 where *CBL* is located
8 without the phenomenon found in Noonan syndrome nor Noonan syndrome-
9 like disorder. We think that some JMML patients with *CBL* mutation might
10 show the good prognosis in later life after remission of JMML.

11

1 **Introduction**

2 Juvenile myelomonocytic leukemia (JMML) was estimated to show the
3 poor prognosis.¹ Hematopoietic stem cell transplantation (HSCT) was
4 strongly recommended for JMML patients, however, about 30% of patients
5 showed relapse even after HSCT.² Recent study revealed that the prognosis
6 might be different from the genetic alterations, *NRAS*, *KRAS*, *PTPN11*, *NFI*
7 and *CBL*.³

8 Perez et al identified a heterozygous germline mutation in the *CBL* gene
9 (Y371H) in 3 unrelated JMML patients with a Noonan syndrome-like
10 disorder.⁴ The mutation occurred de novo in 2 patients and was inherited from
11 an unaffected father in 1 patient. Leukemic cells of all patients showed
12 somatic loss of heterozygosity (LOH) at chromosome 11q23, including the
13 *CBL* gene. These findings indicate that heterozygous mutation in the *CBL*
14 gene is associated with predisposition for the development of JMML.

15 We found two JMML patients survived with good health longer than 20
16 years without HSCT (20 years old female and 31 years old male). Molecular
17 analysis revealed that these 2 patients had the germline *CBL* mutation with
18 different frequency in each organ. Uniparental disomy (UPD) of chromosome

1 11q23 was also found in the recent peripheral blood, both. Furthermore, there
2 are no typical features of Noonan syndrome nor Noonan syndrome like
3 disorders in either patients. We will discuss about JMML with the *CBL*
4 mutation.

5 **Patients**

6 Diagnostic criterion of JMML was depended on WHO 2008 classification.⁵

7 Case 1 : A six-month-old girl was admitted to our hospital because of
8 abdominal swelling. She did not have the characteristics of Noonan syndrome
9 (Supplemental Figure 1A). She was diagnosed as having JMML. We repeated
10 chemotherapy using low-dose cytarabine (Ara-C) (30mg/m² for 10 days) and
11 6-mercaptoprine (6-MP) (40mg/m² for 7 days) for 126 times (about 10.5 years).
12 Leukocytosis and hepatosplenomegaly diminished within one year after
13 starting chemotherapy. She is now 20 years old and healthy without short
14 stature, hearing loss, optic atrophy, congenital heart defects, malformations
15 of certain blood and lymph vessels, hypertension or cardiomyopathy. Her
16 intelligence quotient (IQ) was borderline (IQ test was 70 to 79). On her recent
17 laboratory test, anti-nuclear antigen has been positive, however, she has no
18 symptom of collagen disease.

1 Case 2: A nine-month-old boy was admitted to our hospital because of
2 hepatosplenomegaly and leukocytosis. He did not have the characteristics of
3 Noonan syndrome (Supplemental Figure 1B). He was diagnosed as having
4 JMML. We continued chemotherapy using Ara-C and 6-MP for about 9 years.
5 Hepatosplenomegaly and leukocytosis diminished within 2 years after
6 starting chemotherapy. He is now 31 years old and healthy without short
7 stature, intellectual disability, hearing loss, optic atrophy, congenital heart
8 defects, malformations of certain blood and lymph vessels, hypertension or
9 cardiomyopathy.

10

11 **Materials and Methods**

12 We analyzed several genes affecting the JMML leukemogenesis as follows,
13 *NRAS*, *KRAS*, *PTPN11*, *CBL*, *SETBP1* and *JAK3*.^{6,7,8,9,10} Written informed
14 consent including picture presentation was obtained from each patient and
15 institutional review board of Okayama University Hospital approved this
16 project. Total DNA was extracted from stored BM mononuclear cells (BM-
17 MNCs) sample, stored or recent peripheral blood MNCs (PB-MNCs) sample,
18 buccal smear cells, nail or hair using QIAamp DNA Mini or Investigator kit

1 (QIAGEN, Hilden, Germany), according to the manufacturer's instructions.
2 Polymerase chain reaction (PCR) was performed using primer pair as
3 described previously.^{6,11,12,13,14} PCR product was directly sequenced using ABI
4 310 or 3130 sequencer (Applied Biosystems, Tokyo).

5 We used pyrosequencing to quantify the fraction of mutated alleles in DNA
6 samples from the different somatic tissues. DNA extracted from samples was
7 analyzed using the PyroMarkQ24 Gold Reagents according to the
8 manufacturer's recommendation (QIAGEN, Hilden, Germany). Data analysis
9 was performed using the allelic quantitation software of the PyroMark Q24
10 system.

11 Genome-wide analysis for genetic lesions of mutated *CBL* was performed
12 by single nucleotide polymorphism (SNP) array analysis. DNA extracted from
13 samples was analyzed using the GeneChip Human Mapping 250K *NspI* array
14 (Affymetrix, Santa Clara, California). The data thus obtained were processed
15 using CNAG/AsCNAR software.

16 **Results**

17 The same *CBL* Tyr(Y)371His(H) mutation was found in the recent PB-MNCs
18 in both patients without other gene mutations. Furthermore, the same *CBL*

1 mutation was found in the diagnostic BM-MNCs of case 1. Unfortunately we
2 could not check the diagnostic sample of case 2 because his sample was not
3 available. We identified the same *CBL* Y371H mutation in DNA derived from
4 buccal smear cells, nails of hands and hairs in two patients (Figure 1). The
5 mutated frequency by pyrosequencing was different in each sample (Figure
6 1). In DNA from buccal smear cells of the both patients' parents, no mutation
7 was detected (Figure 1). We found that both cases are de novo mutation.

8 SNP array data suggested that *CBL* mutations were related to the LOH of
9 chromosome 11q which included the *CBL* gene, both (Figure 2).

10

1 **Discussion**

2 JMML was estimated to be a life-threatening disease and HSCT was strongly
3 recommended as soon as possible, however, high relapse rate was still
4 observed and resulted in the poor prognosis. Recent study revealed JMML
5 prognosis might be quite different from the genetic alterations.^{6,7,8,9,10}

6 Our two cases survived for more than 20 years without HSCT and had the
7 same sporadic germline *CBL* mutation with 11qUPD. Recently germline *CBL*
8 mutation syndrome was presented.^{3,4,15,16} Interestingly, *CBL* mutation in our
9 two patients was found with different frequency in the different organ even
10 after JMML remission. *CBL* mutations are generally associated with LOH of
11 the 11q23 chromosomal region resulting in apparent homozygosity for a *CBL*
12 mutation in JMML,¹⁷ but our cases still showed the LOH of the 11q23 in
13 healthy PB samples, especially case 1. Previous reports suggested that
14 germline heterozygous missense *CBL* mutations were detected in 4 sporadic
15 and 2 familial cases (total of 7 cases).^{4,15} None of the 7 individuals with a *CBL*
16 mutation had any hematological or solid tissue malignancy; however, the
17 authors proposed the hypothesis that carriers of a germline *CBL* mutation
18 could be at increased risk for both, analogous to the predisposition to

1 malignancies seen in NF1, another disorder involving the *RAS-MAPK*
2 pathway.^{4,15} These studies suggest that germline heterozygous *CBL* mutation
3 carriers are susceptible to malignancy if reduction to homozygosity in somatic
4 tissues occurs due to acquired UPD. For example, Kato et al reported
5 duplication of *KRAS* due to acquired UPD caused JMML aggressive
6 transformation.¹⁸ However, now our two cases have no malignancy except for
7 JMML at infancy, although they had the germline *CBL* mutation with
8 11qUPD. We think the relationship between *CBL* mutation and 11qUPD in
9 both cases (Supplemental figure 2). There seems to be four types which were
10 shown in supplemental figure 2, they would exist as mixed status in each
11 patients, however, type A would be dominant in case 1, and Type B would be
12 dominant in case 2. Further large study about *CBL* mutation with 11q23UPD
13 in adult cases will be needed in future.

14 Niemeyer et al suggested that germline *CBL* mutations have developmental,
15 tumorigenic and functional consequences that resemble disorders that are
16 caused by hyperactive *Ras/Raf/MEK/ERK* signaling and include NF-1,
17 Noonan syndrome, Costello syndrome, cardiofaciocutaneous syndrome and
18 Legius syndrome.¹⁶ Therefore, these germline mutated syndrome might

1 complicate JMML at infancy like transient abnormal myelopoiesis (TAM)/
2 transient myeloproliferative disorder (TMD) in Down syndrome.¹⁹

3 Furthermore, *CBL* mutation syndrome was reported with or without JMML
4 depended on the mutated site of *CBL* gene.^{15,16} Interestingly, several reported
5 cases and our two patients with the same mutation Y371H does not have any
6 physiologic abnormalities such as hearing loss, optic atrophy, hypertension or
7 cardiomyopathy.⁴ Future study will enable to predict the prognosis of *CBL*-
8 mutated JMML patients. Further study in long term survivor of JMML
9 patients will be needed in future.

10 In conclusion, JMML seems to show the heterogeneity due to the genetic
11 alterations. Some *CBL*-mutated patients without typical phenomena like
12 Noonan syndrome might show the good clinical course after JMML remission.

13

14 **Acknowledgement**

15 We thank for all the medical staff who participated for this patient care.

16

17 **Figure Ledgends**

18 Figure 1. Results of mutation analysis of *CBL* by direct sequencing and

1 pyrosequencing in cases 1 and 2. The same mutation of Y371H, 1111 T>C was
2 observed in both patients. The frequencies of mutated allele by
3 pyrosequencing were different from the tissue type as follows, for Case 1, PB-
4 MNCs 87%, buccal smear cells 52%, nails 62%, hair 60%. For Case 2, PB-
5 MNCs 58%, buccal smear cells 48%, nails 42%, hair 51%. Their parents did
6 not have this *CBL* mutation in their buccal smear cells.

7

8 Figure 2. Results of SNP array analysis in cases 1 and 2. Figure 2A, and 2B;
9 In case 1, uniparental disomy (UPD) of chromosome 11q was observed in the
10 first diagnostic bone marrow sample (5 months old after birth) (Figure 2A)
11 and in the recent peripheral blood (20 years old) (Figure 2B). Loss of
12 heterogeneity (LOH) of chromosome 11q including the *CBL* gene was
13 observed and UPD was also observed in both samples. Figure 2C; Results of
14 the recent peripheral blood of case 2 (31 years old). The difference is very
15 small but UPD was found.

16

17 Supplemental Figure1. Patients' pictures at present. A; Case 1, 20-year-old
18 female. B; Case 2, 31-year-old male. Informed consent was obtained from each

1 patients. No typical findings are observed of Noonan syndrome.

2

3 Supplemental Figure2. Suspected relationship between *CBL* mutation and

4 11qUPD according to the frequency of *CBL* mutation and SNP array analysis

5 in both cases. Four types about *CBL* mutation and 11qUPD were considered,

6 Type A; *CBL* mutation with 11qUPD, Type B; *CBL* mutation without 11qUPD,

7 Type C; 11qUPD alone, Type D; no *CBL* mutation and no 11qUPD. Star-

8 shaped indicates *CBL* mutation. We think they would exist as mixed status.

9 Type A would be dominant in case 1, and Type B would be dominant in case 2.

10

11

1 References

- 2 1. Niemeyer CM, Arico M, Basso G, Biondi A, Cantu Rajnoldi A, Creutzig U, et al. Chronic
3 myelomonocytic leukemia in childhood: a retrospective analysis of 110 cases. European
4 Working Group on Myelodysplastic Syndromes in Childhood (EWOG-MDS). *Blood*. **89**,
5 3534-3543 (1997).
- 6 2. Locatelli F, Nollke P, Zecca M, Korthof E, Lanino E, Peters C, et al. Hematopoietic stem
7 cell transplantation (HSCT) in children with juvenile myelomonocytic leukemia
8 (JMML): results of the EWOG-MDS/EBMT trial. *Blood*. **105**, 410-419 (2005).
- 9 3. Loh ML. Recent advances in the pathogenesis and treatment of juvenile myelomonocytic
10 leukaemia. *Br J Haematol*. **152**, 677-687 (2011).
- 11 4. Perez B, Mechinaud F, Galambrun C, Ben Romdhane N, Isidor B, Philip N, et al.
12 Germline mutations of the CBL gene define a new genetic syndrome with predisposition
13 to juvenile myelomonocytic leukaemia. *J Med Genet*. **47**, 686-691 (2010).
- 14 5. Baumann I, Bennett JM, Niemeyer CM, Thiele J, Shannon K. *Juvenile myelomonocytic*
15 *leukemia. WHO Classification of Tumours of Haematopoietic and Lymphoid Tissues,*
16 *Fourth Edition.* (eds Swederlow, et al) 82-84 (IARC, Lyon, France, 2008).
- 17 6. Sakaguchi H, Okuno Y, Muramatsu H, Yoshida K, Shiraishi Y, Takahashi M, et al.
18 Exome sequencing identifies secondary mutations of SETBP1 and JAK3 in juvenile
19 myelomonocytic leukemia. *Nat Genet*. **45**, 937-941 (2013).
- 20 7. Yoshida N, Yagasaki H, Xu Y, Matsuda K, Yoshimi A, Takahashi Y, et al. Correlation of
21 clinical features with the mutational status of GM-CSF signaling pathway-related genes
22 in juvenile myelomonocytic leukemia. *Pediatr Res*. **65**, 334-340 (2009).
- 23 8. Flotho C, Kratz CP, Bergstrasser E, Hasle H, Stary J, Trebo M, et al. Genotype-
24 phenotype correlation in cases of juvenile myelomonocytic leukemia with clonal RAS
25 mutations. *Blood*. **111**, 966-967 (2008).
- 26 9. Park HD, Lee SH, Sung KW, Koo HH, Jung NG, Cho B, et al. Gene mutations in the Ras
27 pathway and the prognostic implication in Korean patients with juvenile
28 myelomonocytic leukemia. *Ann Hematol*. **91**, 511-517 (2012).
- 29 10. Takagi M, Piao J, Lin L, Kawaguchi H, Imai C, Ogawa A, et al. Autoimmunity and
30 persistent RAS-mutated clones long after the spontaneous regression of JMML.
31 *Leukemia*. **27**, 1926-1928 (2013).
- 32 11. Tartaglia M, Kalidas K, Shaw A, Song X, Musat DL, van der Burgt I, et al. PTPN11
33 mutations in Noonan syndrome: molecular spectrum, genotype-phenotype correlation,
34 and phenotypic heterogeneity. *Am J Hum Genet*. **70**, 1555-1563 (2002).
- 35 12. Sanada M, Suzuki T, Shih LY, Otsu M, Kato M, Yamazaki S, et al. Gain-of-function of

- 1 mutated C-CBL tumour suppressor in myeloid neoplasms. *Nature*. **460**, 904-908 (2009).
- 2 13. Fernandez-Mercado M, Yip BH, Pellagatti A, Davies C, Larrayoz MJ, Kondo T, et al.
3 Mutation patterns of 16 genes in primary and secondary acute myeloid leukemia (AML)
4 with normal cytogenetics. *PLoS One*. **7**, e42334 (2012).
- 5 14. Thol F, Suchanek KJ, Koenecke C, Stadler M, Platzbecker U, Thiede C, et al. SETBP1
6 mutation analysis in 944 patients with MDS and AML. *Leukemia*. **27**, 2072-2075 (2013).
- 7 15. Martinelli S, De Luca A, Stellacci E, Rossi C, Checquolo S, Lepri F, et al. Heterozygous
8 germline mutations in the CBL tumor-suppressor gene cause a Noonan syndrome-like
9 phenotype. *Am J Hum Genet*. **87**, 250-257 (2010).
- 10 16. Niemeyer CM, Kang MW, Shin DH, Furlan I, Erlacher M, Bunin NJ, et al. Germline
11 CBL mutations cause developmental abnormalities and predispose to juvenile
12 myelomonocytic leukemia. *Nat Genet*. **42**, 794-800 (2010).
- 13 17. Dunbar AJ, Gondek LP, O'Keefe CL, Makishima H, Rataul MS, Szpurka H, et al. 250K
14 single nucleotide polymorphism array karyotyping identifies acquired uniparental
15 disomy and homozygous mutations, including novel missense substitutions of c-Cbl, in
16 myeloid malignancies. *Cancer Res*. **68**, 10349-10357 (2008).
- 17 18. Kato M, Yasui N, Seki M, Kishimoto H, Sato-Otsubo A, Hasegawa D, et al. Aggressive
18 transformation of juvenile myelomonocytic leukemia associated with duplication of
19 oncogenic KRAS due to acquired uniparental disomy. *J Pediatr*. **162**, 1285-1288 (2013).
- 20 19. Hall GW. Cytogenetic and molecular genetic aspects of childhood
21 myeloproliferative/myelodysplastic disorders. *Acta Haematol*. **108**, 171-179 (2002).

22

23

Figure 1. Direct sequencing and Pyrosequencing in Case 1 and Case 2.

Case 1
(CBL, Tyr(Y)371His(H) 1111T>C)

Case 2
(CBL, Tyr(Y)371His(H) 1111T>C)

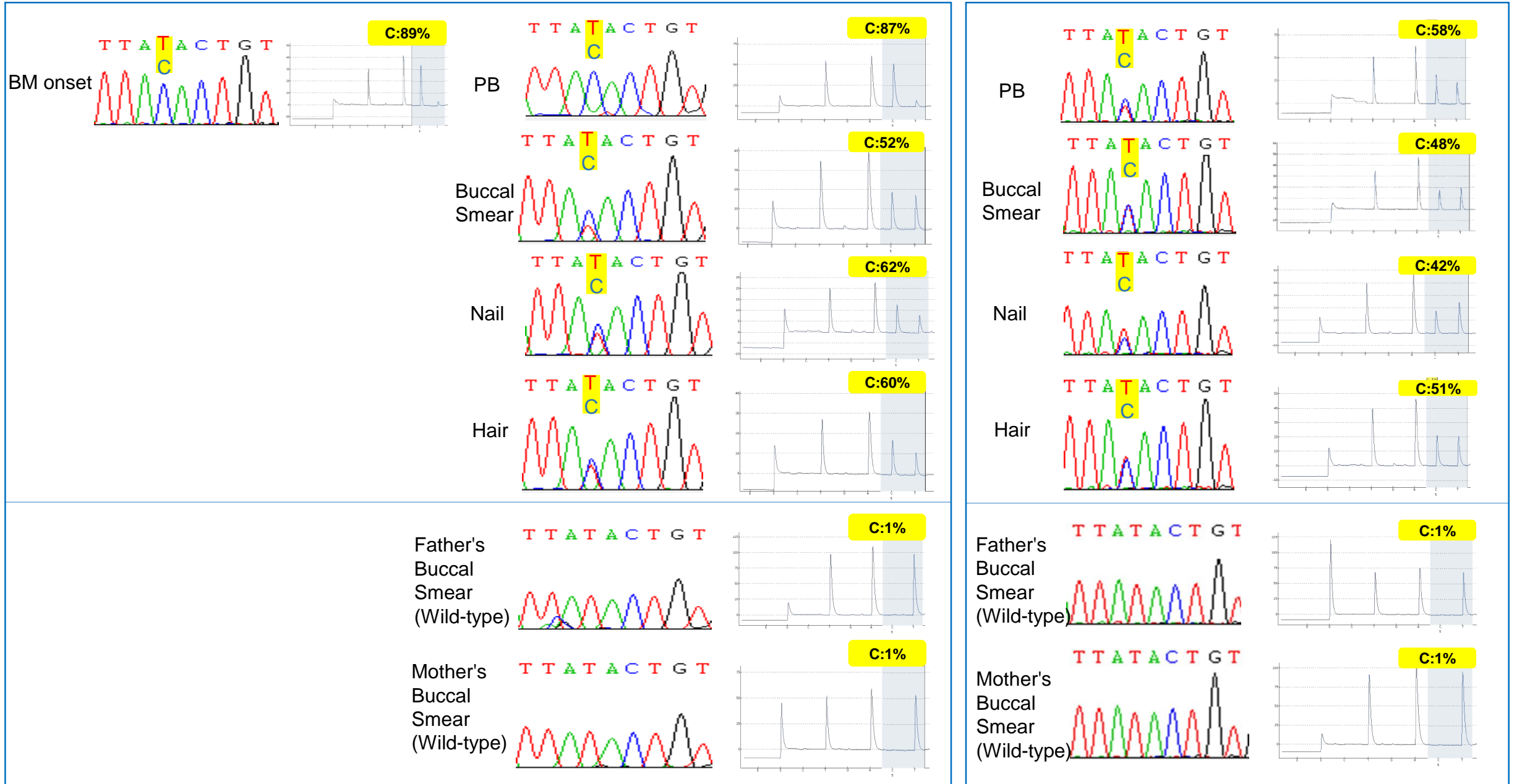
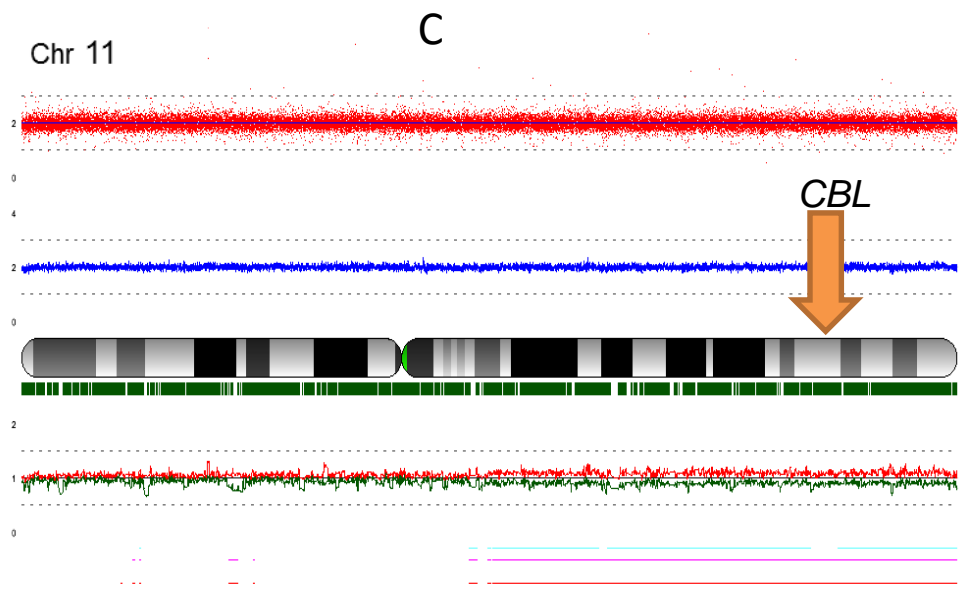
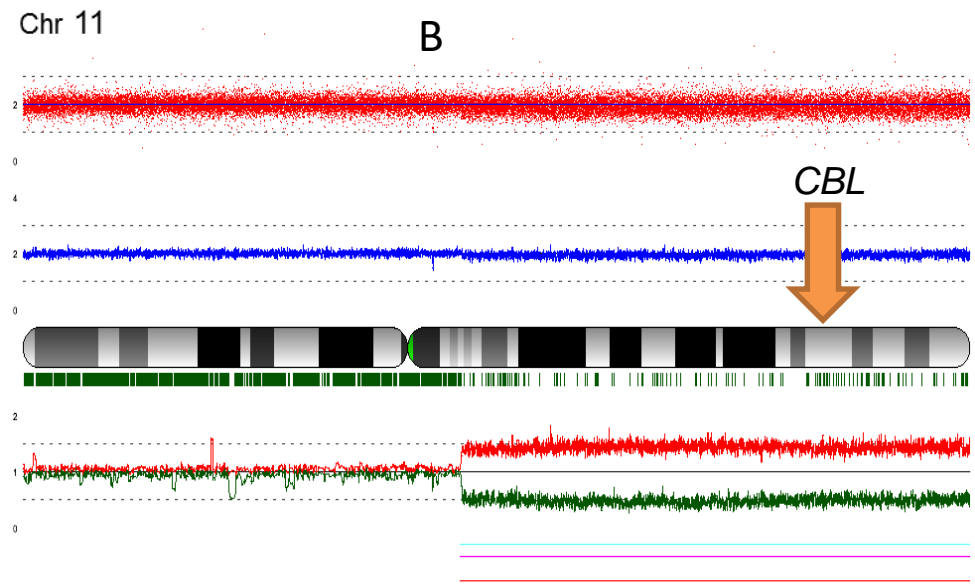
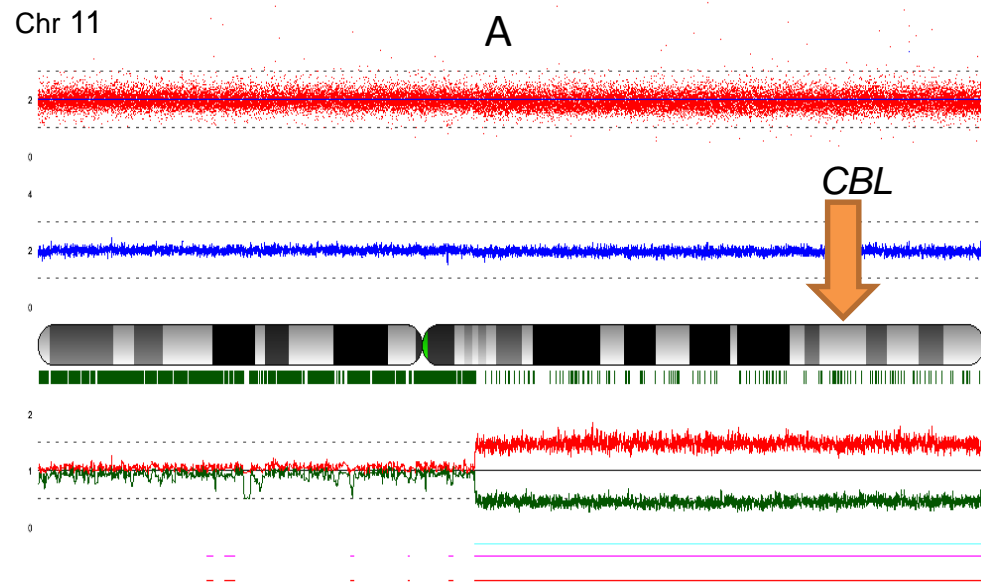
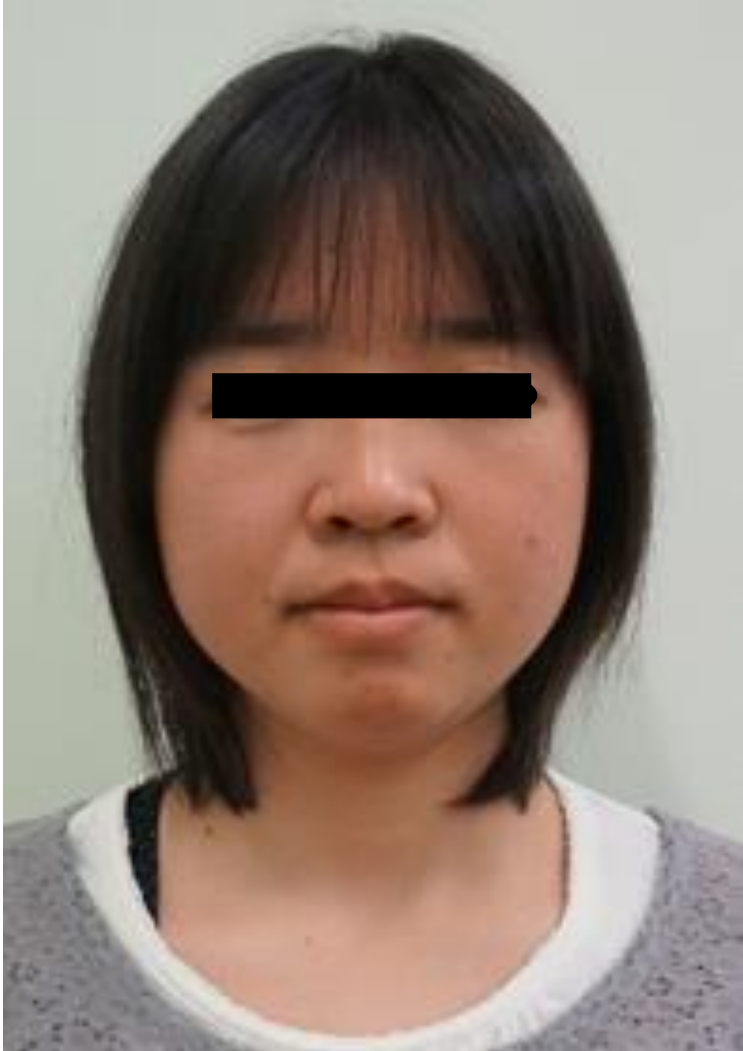


Figure 2. SNP array



Supplemental Figure 1. pictures at present

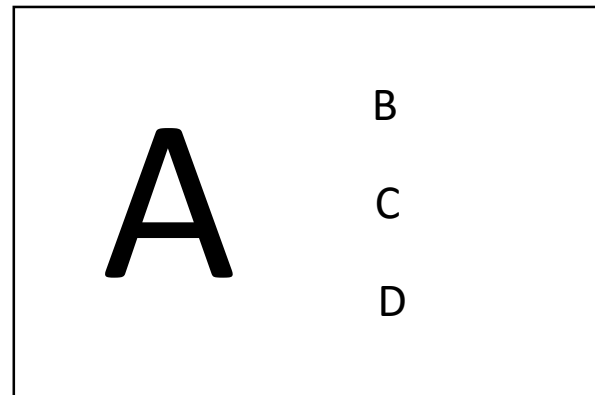
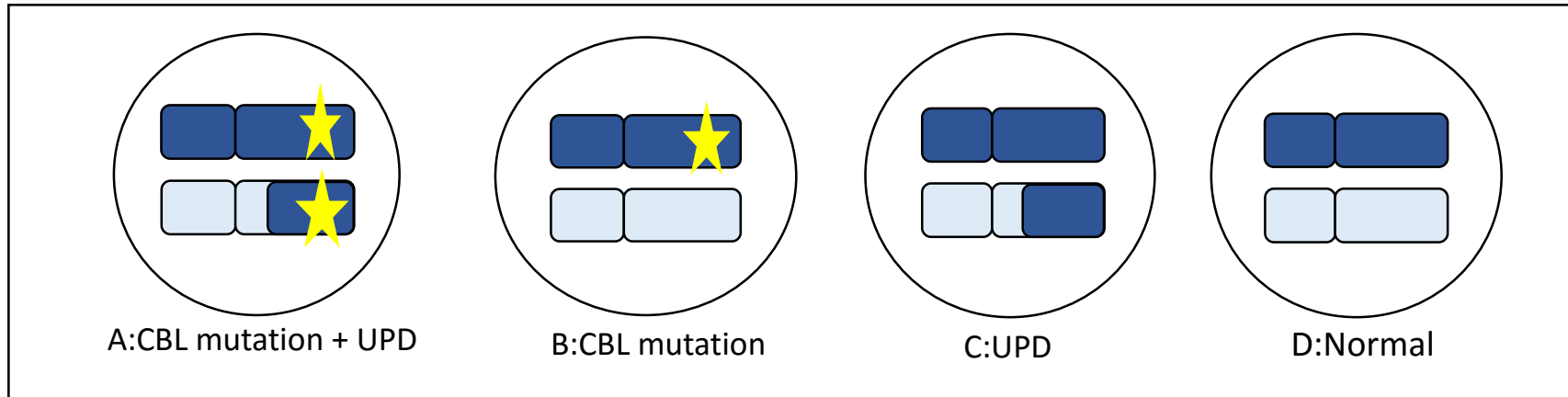


A; Case1. She is 20 years old.

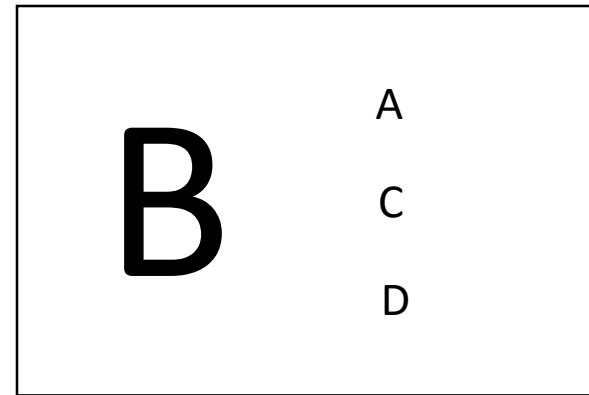


B; Case2. He is 31 years old.

Supplementary Figure 2



case1



case2